



Rajkumar R. Tiwari

FCS., LLM., MBA(Fin.), Mcom., MA(Eco.), M. Phil.,
PGDICM & IA., DIPL., DTL., DFM.

Practising Company Secretary

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Date: 20 MAY 2022

SECRETARIAL COMPLIANCE REPORT OF GLOBAL OFFSHORE SERVICES LIMITED FOR THE YEAR ENDED 31ST MARCH, 2022

To,
The Board of Directors
Global Offshore Services Limited
CIN: L61100MH1976PLC019229
101, Swapna Bhoomi, "A" Wing,
S.K. Bole Road, Dadar - (West),
Mumbai-400028

I, Rajkumar R. Tiwari, Practising Company Secretary (Membership No. FCS 4227 & CP No. 2400), Mumbai have examined:

- (a) all the documents and records made available to me and explanations provided by **Global Offshore Services Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended **31st March, 2022** ("Review Period") in respect of compliance with the provisions of:
 - (a) the Companies Act, 2013 and the rules applicable thereunder as amended from time to time;
 - (b) the Securities and Exchange Board of India Act, 1992, ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - (c) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");



The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; (hereinafter referred to as LODR);
- (b) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 - **Not Applicable as there was no reportable event during the financial year under review;**
- (c) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 - **Not Applicable as there was no reportable event during the financial year under review;**
- (e) The Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 (being the erstwhile Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 - **Not Applicable as there was no reportable event during the financial year under review;**
- (f) The Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021 and the erstwhile The SEBI (Issue and Listing of debt Securities) Regulations, 2008; - **Not Applicable as there was no reportable event during the financial year under review;**
- (g) The Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; - **Not Applicable as there was no reportable event during the financial year under review;**
- (h) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;

and circulars / guidelines issued thereunder; and based on the above examination, I hereby report that, during the review period,



- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 24 A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.	Delay in submission of Secretarial Compliance Report under regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended 31st March, 2021.	The Company has paid the fine within the prescribed time period and also informed Stock Exchange of the corrective action initiated in this regard.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:



Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	Bombay Stock Exchange Ltd (BSE)	Delay in submission of Secretarial Compliance Report under regulation 24A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended 31st March, 2021.	Under Standing Operating Procedure with respect to non-compliance of certain listing regulations, fine was imposed upon the Company by the Bombay Stock Exchange Ltd (BSE).	The Company has paid the fine within the prescribed time period and also informed Stock Exchange of the corrective action initiated in this regard.

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31.03.2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Reg. 19 (1) / (2) - On verification of Corporate Governance Report submitted by the company for the quarter ended March 31, 2020 it was observed that	2020-21	The Company has paid the fine within the prescribed time period and also informed Stock Exchange of the corrective action initiated in this regard.	The Company has paid the fine within the prescribed time period and also informed Stock Exchange of the corrective action initiated in this regard.



the company had not complied with regulation 19(1)/(2) of Listing Obligations and Disclosure Requirements Regulations, 2015.			
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Rajkumar
20/05/2022

Place: Mumbai
Date: 20th May, 2022

CS Rajkumar R. Tiwari
Company Secretary in whole time practice
C.P. No.: 2400 FCS No.:4227
UDIN: F004227D000357162